

Senior Compliance Officer (f/m/d) · 60% · Zurich

With more than 50 employees across our offices in Zurich, Basel, and Kreuzlingen, SoundCapital is one of Switzerland's leading independent asset managers, offering first-class and holistic wealth management services to our clients.

Together with you, we aim to strengthen our Compliance, Risk & Regulatory team and ensure that regulatory requirements are implemented efficiently, pragmatically, and to the highest quality standards. In this role, you will take on responsibility at the interface between front office, operations, and external stakeholders, and actively contribute to the further development of our compliance structures.

You stand out through your strong analytical skills, a pronounced risk awareness, and a pragmatic, solution-oriented approach. At the same time, you bring the assertiveness and communication skills required to act as a trusted point of contact within the company.

Your Responsibilities

You take on a central role within our Compliance, Risk & Regulatory team and ensure efficient, practical, and compliant processes across the entire client lifecycle.

- You review and validate client documentation (onboarding, amendments, account closures) and conduct thorough KYC and AML due diligence.
- You monitor transactions, review sanctions, embargoes, and adverse media, and ensure compliance with regulatory requirements.
- You perform investment compliance controls, identify risks at an early stage, and address them proactively.
- You advise front-office staff on cross-border matters and support complex regulatory issues.
- Together with the team, you ensure that our internal control system (ICS) is effectively implemented by actively supporting and developing defined controls.
- You are responsible for periodic reviews and reporting and contribute to projects aimed at optimizing our processes.
- With your experience, you ensure efficient, high-quality, and compliant operations while driving continuous improvement initiatives.

Your profile

- Several years of solid experience in compliance within the financial sector, particularly in KYC, onboarding, and anti-money laundering.
- A completed university degree, ideally complemented by further education in compliance (e.g., CAS).
- Strong knowledge of relevant regulatory requirements (AML Act, AML Ordinance-FINMA, FIDLEG, FINIG).
- A structured, precise, and independent working style with a strong commitment to quality.

- Sound judgment, assertiveness, and a pragmatic, solution-oriented mindset.
- Strong ability to prioritize and make decisions in a dynamic day-to-day environment.
- Team-oriented with excellent communication skills and enjoyment in working with diverse stakeholders.
- Proficient in MS Office; knowledge of Assetmax, World-Check, or LexisNexis Diligence+ is an advantage.
- Fluent in German and very good command of English, both written and spoken (additional languages are a plus).

What We Offer

- A varied and responsible role within an independent company with short decision-making paths.
- A professional and collaborative work environment with a high degree of autonomy and creative freedom.
- The opportunity to take on responsibility and actively shape processes and projects.
- Modern tools and flexible working models to support your daily work efficiently.
- A centrally located workplace in Zurich (Bellevue) with excellent public transport connections.
- Attractive working conditions, five weeks of vacation, and a range of additional benefits combined with a strong team spirit.
- The opportunity to actively contribute to the ongoing success story of SoundCapital.

Sounds good, doesn't it?

Take the opportunity and apply today with your complete application documents. We look forward to getting to know you.

SoundCapital in a nutshell

- We manage assets worth over CHF 4.7 billion
- Providing first-class and holistic wealth management services
- With a team of more than 50 experts
- With three offices in Switzerland – in Basel, Kreuzlingen and Zurich

Contact



Stefan Schait

Head of Compliance, Risk & Regulatory · Member of the Executive Board · Equity Partner

stefan.schait@sound.capital

+41 44 206 25 55